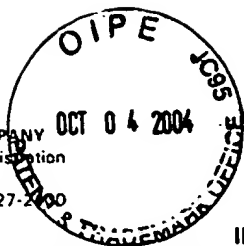


HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, Colorado 80527-2400



PATENT APPLICATION

ATTORNEY DOCKET NO. 10003355-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Vasanth Bala et al.

Confirmation No.: 7644

Application No.: 09/874,170

Examiner: Jason S. Proctor

Filing Date: June 04, 2001

Group Art Unit: 2123

Title: A Networked Client-Server Architecture For Transparently Transforming And Executing Applications

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
 - ☒ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
 - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: Sept. 30, 2004

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Jerome Dechant

Signature: Jerome Dechant

Respectfully submitted,

Vasanth Bala et al.

By David A. Plettner

David A. Plettner

Attorney/Agent for Applicant(s)
Reg. No. 36,241

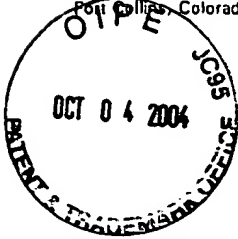
Date: Sept. 30, 2004

Telephone No.: 408 447-3013

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10003355-1



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Application No.: 09/87,417

Examiner: Jason S. Proctor

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Title: A Networked Client-Server Architecture For Transparently Transforming And Executing Applications

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
 - was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Vasanth Bala et al.

By

David A. Plettner

Attorney/Agent for Applicant(s)

Reg. No. 36,241

Date: Sept. 30, 2004

Telephone No.: (408) 447-3013

PATENT APPLICATION

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

10003355-1

09/874,170

7644

APPLICANT

Vasanth Bala et al.

FILING DATE

June 4, 2001

GROUP

2152

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AA	6,370,687	04/09/2002	Shimura, K.	G06F	9/45
	AB					
	AC					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	AD	JP2000 215181 A	8/4/2000	Shimura Hiroya	G06F9/44	
	AE					
	AF					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	AG	Cardelli, Luca, "Program Fragments, Linking, And Modularization", Jan. 15, 1995, Digital Equipment Corp., System Research Center, pp. 266-277
	AH	Sheng Liang et al., "Dynamic Class Loading in the Java Virtual Machine", Oct. 1998, Sun Microsystems Inc., pp. 36-44
	AI	
	AJ	
	AK	

EXAMINER

DATE CONSIDERED

Rev 6/01 (PTO 1449)

